
CHAPTER 18: ARABIAN BREEDERS SWEEPSTAKES PROGRAM

All AHA program and event deadlines must be met as specified, whether submitted by postal service, fax, e-mail, internet or other electronic means.



Definitions:

1. The term "Nominated Sire" within these rules refers to an Arabian Nominated Sire.
2. The term "Nominated Dam" within these rules refers to an Arabian Nominated Dam.
3. The term "Breeder/Nominator" within these rules refers to the person(s) or entity who nominated the Breeding Entry.
4. The term "Local Shows" refers to AHA Recognized A, B, C, Local Member Rated Shows and does not include Arabian Community Shows.
5. The term "Breeders Incentive" refers to the payback awarded to the Sire Payback Program and the Breeder/Nominator Payback Program.
6. The term "Trustee" refers to the Trustee of the Arabian Breeders Sweepstakes.
7. The term "ABSC" refers to the Arabian Breeders Sweepstakes Commission.
8. The term "Local Rides" refers to AHA Recognized Competitive Trail Rides and Endurance Rides. Endurance Rides must be 50 miles or more in length and does not include Limited Distance Rides of 49 miles or less as defined by AERC.

MEMBERSHIP

For membership requirements refer to Chapter 7.

ARTICLE 1801. INTRODUCTION.

The Arabian Breeders Sweepstakes (Sweepstakes) (ABS) was introduced by the International Arabian Horse Association to promote the Arabian horse, elevate its stature in the horse industry, provide a financial incentive for the breeding of Arabian horses, and broaden the income base of AHA. These Rules and Regulations define the terms and conditions under which persons and horses may participate in the Sweepstakes, pursuant to which AHA will award Sweepstakes prize money.

ARTICLE 1802. SWEEPSTAKES TRUST.

1. A Trust was established by IAHA to manage and protect all funds generated by the Sweepstakes. The Trust agreement is available for review at the AHA office. The Trust generally provides that:
 - a. All monies received as Sweepstakes entry fees shall be placed in the Trust.
 - b. The principal (entry fees) held in Trust shall be used solely to provide prize money for the Sweepstakes.
 - c. All interest and other income earned by the Trust shall be used to pay administrative, promotional, and other related expenses of the Sweepstakes. Any Trust income in excess of the amounts required to pay the expenses of the Sweepstakes shall be used by AHA for the promotion of the Arabian horse.

A process is now in place to retain the new interest income earned on the Sweepstakes fund's assets in the Sweepstakes Fund. The process would begin in 2007 and be subject to annual budget review. It is the intent of the AHA Board that 100% of the net annual interest income be retained by the Sweepstakes fund by 2010. (BOD 5/05)
 - d. An audit of all Trust accounts and transactions will be conducted on an annual basis.
2. No more than one-half of the existing Trust principal at the beginning of a calendar year shall be used as Sweepstakes prize money during that calendar year. Since the longevity and long-term success of the Sweepstakes is ultimately dependent upon a number of factors beyond the control of the Arabian Breeders Sweepstakes Trustee, no representations or guaranties of any kind can be made concerning the anticipated life of the Sweepstakes. The Trustee shall have no responsibility or liability to pay out any prize money except from the principal held in the Sweepstakes Trust.

ARTICLE 1803. GENERAL ENTRY REQUIREMENTS.

In order to participate in the Sweepstakes program each person must:

1. Own an Arabian, Half-Arabian, or Anglo-Arabian horse that is registered, or is eligible for registration when born (Breeding Entry), in the Arabian Horse Association Registries, the Canadian Arabian Horse Registry, or the Canadian Partbred Arabian Register.

2. Submit a completed Official Sweepstakes Entry Form with all required documentation to the AHA office on or before the designated deadline.
3. Pay all required entry fees in full at the time of entry or execute a Sweepstakes Deferred Billing Agreement with the required down payment in accordance with Article 1807. and be current on all required payments on the Deferred Billing Agreement.
4. If all entry requirements are not received at the time of enrollment, the owner will be notified identifying the additional requirements necessary in order to complete the entry. Two notices will be sent: one notice when the entry is being processed and the second notice 30 days after the first notice. If the entry requirements are not submitted within 30 days after the second notification, the entry will be dropped, and any payment submitted will be forfeited. (Refer to Article 1806.7.) Upon re-submission, any new requirements and/or fees will apply.

ARTICLE 1804. CERTIFICATE OF REGISTRATION REQUIREMENTS FOR NON-ARABIAN NOMINATED SIRES AND HORSES REGISTERED WITH CAHR OR CPAR.

Photocopy of the horse's Certificate of Registration must accompany the completed entry form. If the Certificate of Registration is unavailable at the time the entry form is sent or delivered to AHA, a photocopy must be provided to AHA as soon as possible. For Breeding Entries, a photocopy of the Certificate of Registration must be submitted after the foal is registered. If the ownership of the original nomination does not match the current Ownership of the foal, a transfer fee will apply. A Sweepstakes Certificate (for the Non-Arabian Nominated Sire and Canadian registered horses) entitling a horse to participate in the Sweepstakes will be issued only when all required documentation has been received by AHA. AHA registered horses will have a Sweepstakes symbol and classification on their Certificate of Registration only when all required documentation has been received by AHA.

ARTICLE 1805. ENTRY CLASSIFICATIONS.

1. Eligible horses may be enrolled in the Sweepstakes in the following classifications:
 - a. Breeding Entry.
 - b. Original Entry.
 - c. Nominated Sire.
 - d. Nominated Dam
 - e. Non-Arabian Nominated Sire.
 - f. Anglo-Arabian Nominated Dam

Note: Refer to Article 1817.8. for Sweepstakes Point Program.

SPECIFIC PROVISIONS

2. An Arabian stallion or mare may be enrolled in more than one classification (i.e., as an Original Entry and Nominated Sire; or Breeding Entry and Nominated Sire or Nominated Dam), provided that all fees are paid and all entry requirements are fulfilled for each classification. A gelding may only be enrolled in a single entry classification (i.e., as an Original or Breeding Entry).

ARTICLE 1806. FEES AND DEADLINES.

1. Breeding Entry: \$400

An unborn foal sired by a Sweepstakes Nominated Sire, or a Non-Arabian Nominated Sire bred to an Arabian mare, or out of a Nominated Dam bred to either a Non-Arabian Stallion or a Nominated Sire, or an Anglo-Arabian Nominated Dam bred to a Nominated or Non-Nominated Thoroughbred or Anglo-Arabian Sire, or Nominated Sire. (Refer to Article 1806.3., 4., 5., 6.) All breeding entries must be enrolled by December 31 in the year in which they are conceived. Every fourth Breeding Entry enrolled in the same year by the same owner (owner is defined as any member of the same family) may be enrolled at one half price. The discount applies to each submission of at least four entries mailed in the same envelope. Late enrollments will be accepted postmarked/electronic transaction dated January 1 through February 15 the year the foal is born with an additional \$100 late fee. This entry is eligible to win Allocated Prize Money in any Sweepstakes-designated payback classes for the life of that horse. This entry category allows for eligibility to compete in the Regional Yearling Sweepstakes Breeding classes. (Refer to Article 1809.16. for Deceased Foal Credit.)

Breeding Entries are eligible to enroll in the Sweepstakes Point Program. Refer to Article 1817.8.

2. Original Entry: \$15,000.

Open to any living horse registered pursuant to Article 1803.1 Eligible to win Allocated Prize Money in any Sweepstakes-designated payback classes for that horse's life. However, those horses enrolled as Original Entries are not eligible to compete in Regional Yearling Sweepstakes Breeding classes:

Original Entries are eligible to enroll in the Sweepstakes Point Program. Refer to Article 1817.8.

3. Nominated Sire: \$3,500. (\$1,500 if colt or stallion is currently enrolled in Sweepstakes as a Breeding Entry.)

- a. Late enrollments will be accepted postmarked January 1 through February 15 preceding the year his foal crop is born with an additional late fee of \$100.

- b. Open to any living Arabian Stallion registered with AHR or CAHR. Payment of the one-time entry fee makes all foals sired by the enrolled stallion eligible to be entered in the Sweepstakes as Breeding Entries.
 - c. Enrollment as a Nominated Sire does not make the stallion eligible to win prize money in Sweepstakes-designated payback events nor the Sweepstakes Point Program. However, all Nominated Sires automatically participate in the Sire Payback Program pursuant to Article 1819.
4. Nominated Dam: \$1,000.
- Open to any living Arabian mare registered with AHR or CAHR. Must be bred to either a non-Arabian stallion for the resulting Half-Arabian in utero foal to be eligible as a Breeding Entry or to a Nominated Sire to have the resulting Arabian in utero foal to be eligible as a Breeding Entry. Payment of the one-time enrollment fee makes all foals sired by a non-Arabian stallion or Nominated Sire eligible to be enrolled in the Sweepstakes as Breeding Entries. Mare must be nominated by December 31 of the year of conception. Late enrollments will be accepted postmarked from January 1 through February 15 preceding the year her foal is born with an additional late fee of \$100.
- a. Enrollment as a Nominated Dam does not make the mare eligible to win prize money in Sweepstakes-designated payback events nor the Sweepstakes Point Program.
5. Anglo Arabian Nominated Dam - \$1000
- Open to any living Anglo-Arabian Mare registered with AHA or CPAR. Must be bred to a Nominated or non-nominated Thoroughbred or Anglo-Arabian Sire, or Nominated Sire for the resulting in utero foal to be eligible as a Breeding Entry. In addition the resulting foal must meet the registration requirements for an Anglo-Arabian. (Refer to Article 1003.) Payment of the one-time enrollment fee makes all subsequent foals of the Nominated Anglo Arabian Dam sired by any of the above eligible stallions, eligible to be enrolled in the Sweepstakes as Breeding Entries. Mare must be nominated by December 31 of the year of conception. Late enrollments will be accepted postmarked from January 1 through Feb. 15 preceding the year her foal is born with an additional late fee of \$100.
- a. Enrollment as an Anglo-Arabian Nominated Dam does not make the mare eligible to win prize money in Sweepstakes-designated payback events nor the Sweepstakes Point Program.
6. Non-Arabian Nominated Sire. \$4,000
- Open to any living non-Arabian stallion. Payment of the one-time entry fee makes all foals sired by the enrolled stallion and out of a registered Arabian mare, eligible to be enrolled in the Sweepstakes as Breeding Entries as long as registration requirements are met in Article 1002 and 1003 for Half-Arabian and Anglo-Arabian offspring. Enrollment as a Non-Arabian Nominated Sire does not make the stallion eligible to win prize money in Sweepstakes designated payback events, nor the Sweepstakes Point Program and does not make the stallion eligible to participate in the sire payback program.

GENERAL

- 7. All fees must be paid in U.S. dollars. Refer to current fee schedule in AHA directory. All fees are non-transferable except for the deceased foal credit. See Breeding Entry.
- 8. All fees are non-refundable (Refer to Article 1809.)
- 9. Payment of entry fees must be postmarked/electronic transaction dated no later than the last applicable enrollment date provided for in Article 1806. in order to prevent the fee from increasing to the next higher fee level. If payment of entry fees is made pursuant to the Deferred Billing Agreement set forth in Article 1807. of these Rules and Regulations, the down payment and fully executed Deferred Billing Agreement must be postmarked/electronic transaction dated no later than the last applicable enrollment date provided for in Article 1806. in order to prevent the fee from increasing to the next higher fee level.
- 10. In order to compete for Sweepstakes Allocated Prize Money, all entry requirements as outlined in Articles 1803., 1804. must be received by AHA prior to participation where Sweepstakes prize money is anticipated to be won. Any prize money won will be withheld until all entry requirements have been met. If the entry requirements are not completed in a satisfactory manner, Article 1803.4. will apply and prize money won will be forfeited.
- 11. Payment of the total fee required to enroll a horse in an entry classification enrolls it in that entry classification for its lifetime.
- 12. It is the responsibility of the Breeder/Nominator of a Breeding Entry to verify that the unborn foal's sire is a Nominated Sire or a Non-Arabian Nominated Sire, or mare is a Nominated Dam or Anglo-Arabian Nominated Dam. However, Breeding Entry fees will be refunded if, after making payment, an owner learns that the Nominated Sire or a Non-Arabian Nominated Sire, or Nominated Dam or Anglo-Arabian Nominated Dam was not on ABS's current list of Nominated Sires or Non-Arabian Nominated Sires, or Nominated Dams or Anglo-Arabian Nominated Dams at the time payment was made. If the Nominated Dam is bred to a non-nominated Arabian stallion therefore, making the foal ineligible, the Breeding Entry fee will be refunded.
 - a. If the Sweepstakes nomination of a Nominated Sire or a Non-Arabian Nominated Sire is canceled for failure to complete the payments agreed to be made under Article 1807., Deferred Billing Agreement, the Nominated Sire or a Non-Arabian Nominated Sire shall be removed from the ABS's current list of Nominated Sires or Non-Arabian Nominated Sires, but the offspring of that stallion conceived prior to the publication date of the fact that the stallion has been removed shall be eligible for enrollment as Breeding Entries unless otherwise stated.
 - b. If the Sweepstakes nomination of a horse in Article 1805.c., d., e. f. is canceled because its registration has been canceled by the registry in which it is registered, horse shall be removed from the ABS's current list of eligible Sires or Dams, and the offspring of that stallion or mare shall not be eligible for enrollment as Breeding Entries in the Sweepstakes. Sweepstakes enrollments for living offspring of such a stallion or mare enrolled as Breeding Entries or Yearling Entries in the Sweepstakes shall be canceled.

Breeding and Yearling Entry fees will not be refunded if the Sweepstakes enrollment of the Breeding Entry or Yearling Entry is canceled as a result of the cancellation of the Sweepstakes nomination of its Nominated Sires or Nominated Dams because its registration has been canceled by the registry in which it is registered. However, if the Nominated Sire's or Dam's Sweepstakes nomination is subsequently reactivated, and the stallion or mare is reinstated on ABS's list of Nominated Sires or Dams, the offspring of the stallion or mare shall be eligible for re-enrollment and enrollment as Breeding Entries and Yearling Entries.

13. The ABS list of Nominated Sires, Non-Arabian Nominated Sires, Nominated Dams or Anglo-Arabian Nominated Dams will be designated with a symbol indicating that the mare or stallion has tested clear for SCID if your mare or stallion tests SCID Clear and proper documentation is received by the AHA office. (ABS 2/98)

ARTICLE 1807. DEFERRED BILLING AGREEMENT.

1. Payment of the entry fees due for Breeding Entry, Original Entry, Nominated Sire, Nominated Dam, Non-Arabian Nominated Sire or Anglo-Arabian Nominated Dam may be made pursuant to the following Deferred Billing Agreement:
 - a. A properly executed Deferred Billing Agreement must be submitted with an Official Sweepstakes Entry Form along with the initial payment of all entry fees (total of all entries plus the 10% administrative fee) divided by the number of payments. Deferred Billing Agreement must be executed in the name of the recorded owner (exception: Breeding Entries). If the horse is jointly owned, all owners of the horse must sign the Deferred Billing Agreement. If the owner of the horse is under 18 years of age or not of full legal age in the state in which s/he resides, a parent or guardian must sign the Deferred Billing Agreement and be a current member of AHA. If the above requirements are not submitted, Article 1803.4. will apply.
 - b. Payments must be made in accordance with the terms of the properly executed Deferred Billing Agreement.
 - c. If full and timely payment is not made or if a default occurs pursuant the terms of the Deferred Billing Agreement, all payments made, and prize money, sire payback and Breeder/Nominator money won by the horse shall be forfeited and the entry will be dropped from the program. The dropped applicant(s) is not entitled to submit another entry on a deferred billing agreement for a period of 5 (five) years.
 - d. If the entry fees for a horse are being paid on the Deferred Billing Agreement, the payments are current, and all other entry requirements have been met, that horse is eligible to compete for Sweepstakes prize money
 - e. Sweepstakes Deferred Billing Agreements will be non-transferable and non-assignable unless there is an existing Deferred Billing Agreement covering a number of horses and a new or divided ownership is known to the AHA, the obligation relating to the new ownership may be assumed by the new owner as long as all payments are current. In this event, the existing Deferred Billing Agreement may be paid in full or new Deferred Billing Agreement may be set up reflecting the change to the original Deferred Billing Agreement. A non-refundable office fee of \$25 will be charged to set up each new Deferred Billing Agreement.
 - f. ABSC reserves the right to eliminate and/or change this Deferred Billing Agreement at any time.
 - g. Late fees may not be financed on the Deferred Billing Agreement and must be paid in full at the time of enrollment. (Refer to Article 1806)
 - h. Credits due to castration or the death of an enrolled horse will be applied to a deferred billing agreement when all requirements are met. Credits cannot be used as a down payment but will be evenly distributed towards the number of payments indicated and granted on the deferred billing agreement. The administrative fee is determined on the original amount due on the entry.

NOMINATED SIRE, NOMINATED DAM,

NON-ARABIAN NOMINATED SIRE

ANGLO-ARABIAN NOMINATED DAM

2. If a Nominated Sire or a Non-Arabian Nominated Sire on Deferred Billing Agreement is gelded, the balance owing must be satisfied before any consideration of a credit will be made. (Refer to Article 1809.)
3. If a default occurs pursuant to the terms of the Deferred Billing Agreement for a Nominated Sire, Nominated Dam, Non-Arabian Nominated Sire or Anglo-Arabian Nominated Dam, the sire's, or mare's name shall be removed from the current list of Nominated Sires, Nominated Dams, Non-Arabian Nominated Sires or Anglo-Arabian Nominated Dam, and the sire's or mare's name and the owner's name shall be published as a deletion from the current Nominated Sire, Nominated Dam, Non-Arabian Nominated Sire or Anglo-Arabian Nominated Dam list; the horse's Sweepstakes Certificate shall not be issued or the Sweepstakes enrollment status shall not be noted on the Registration Certificate; and the owner shall be liable to ABS for an amount equal to all prize money paid and due, or which shall become due, to any horses enrolled in the Sweepstakes which were sired or produced by the horse.

ARTICLE 1808. CERTIFICATE AND AHA REGISTRATION PAPERS.

1. The person enrolling a horse can receive a new Certificate of Registration with the Sweepstakes classification in which the horse has been enrolled (original Certificate of Registration must be submitted to AHA with a \$10 replacement Certificate fee along with a written request). A horse shall not be deemed enrolled in the Sweepstakes and shall not be entitled to receive any prize money or sire payback money until all requirements are met under Articles 1803., 1804. A horse's enrollment in the Sweepstakes shall be deemed to be pending until receipt of full payment of all amounts due in accordance with the terms of the Deferred Billing Agreement.
2. If the payment of entry fees is made pursuant to Deferred Billing Agreement provided for in Article 1807. of these Rules and Regulations, the person enrolling the horse can receive a new Certificate of Registration with the Sweepstakes classification in which the horse has

been enrolled (original Certificate of Registration must be submitted to AHA with a \$10 replacement Certificate fee along with a written request) upon receipt of all amounts due in accordance with the terms of the Deferred Billing Agreement. A horse whose enrollment is pending may participate in the Sweepstakes, except as otherwise provided in these rules.

3. In the event that an enrolled horse is sold that is registered with AHA, the Sweepstakes enrollment will automatically be transferred to the new Recorded Owner of the horse upon transfer of the Certificate of Registration (providing the enrollment is currently not a Deferred Billing Agreement). In the event that an enrolled horse is sold that is registered with CAHR or CPAR, or is enrolled as a Non-Arabian Nominated Sire (except Half-Arabian Stallions), the Sweepstakes Certificate must be transferred to the new owner or purchaser. The transfer will be made when the new owner or purchaser submits a copy of the Certificate of Registration verifying the new ownership. Once the Sweepstakes transfer has been completed and a new Sweepstakes Certificate has been sent to the new owner, all Sweepstakes Certificates previously issued shall be considered null and void. A \$35 transfer fee per entry is applicable when ownership of an enrolled horse changes.

ARTICLE 1809. STERILITY, CASTRATION, DEATH OF AN ENROLLED HORSE.

STERILITY

1. If a Nominated Sire is proven to be sterile within 12 months of the date of Sweepstakes enrollment as a Nominated Sire, and proper documentation from a veterinarian, including castration certificate, is submitted to AHA substantiating that no foals have been conceived from a breeding by this stallion, the Nominated Sire fee paid for that stallion will be credited to the recorded owner's Sweepstakes account, and the Nominated Sire enrollment will be canceled. All rights and privileges as a Nominated Sire will cease. The owner may also elect to enter the stallion as an Original Entry, pursuant to Article 1806.2. If the Original Entry category fee is greater at that point, the owner must pay the difference.
2. If a Non-Arabian Nominated Sire is proven to be sterile within 12 months of the date of Sweepstakes enrollment as a Non-Arabian Nominated Sire, and proper documentation from a veterinarian, including castration certificate, is submitted to AHA substantiating that no foals have been conceived from a breeding by this stallion, the Non-Arabian Nominated Sire fee paid for that stallion will be credited to the recorded owner's Sweepstakes account, and the Non-Arabian Nominated Sire nomination will be canceled. All rights and privileges as a Non-Arabian Nominated Sire will cease.

CASTRATION

3. When a Nominated Sire is gelded, the amount paid as a Nominated Sire can at the option of the owner, either be applied in total to an Original Entry fee for the gelded Nominated Sire or credited to the Nominated Sire owner's Sweepstakes account. If not applied to the Original Entry fee, the credit amount shall be reduced by 25% for each year or part thereof that the Nominated Sire was on the Arabian Breeders Sweepstakes Nominated Sire list. If the horse is registered by AHA, the castration must be officially recorded before any consideration of a credit is made. Once a Nominated Sire is gelded and a transfer/credit is applied, no Sire Payback will be paid. If the Original Entry category fee is greater at that point, the owner must pay the difference. If the Original Entry category fee is not greater, the transfer will be made without additional charge. Deferred Billing obligations must be paid in full before a Nominated Sire Entry can be transferred to an Original Entry.
4. When a Non-Arabian Nominated Sire that is a Half-Arabian is gelded, the amount paid as a Non-Arabian Nominated Sire can at the option of the owner, either be applied in total to an Original Entry fee for the gelded Non-Arabian Nominated Sire or credited to the Non-Arabian Nominated Sire owner's Sweepstakes account. If not applied to the Original Entry fee, the credit amount shall be reduced by 25% for each year or part thereof that the Non-Arabian Nominated Sire was on the Arabian Breeders Sweepstakes Non-Arabian Nominated Sire list. A copy of the Certificate of Registration stating "gelding" must be submitted. If the Original Entry category fee is greater at that point, the owner must pay the difference. If the Original Entry category fee is not greater, the transfer will be made without additional charge. Time payment obligations must be paid in full before a Nominated Sire Entry can be transferred to an Original Entry.
5. Effective January 1, 1999 in the event a Nominated Sire is gelded and was in good standing at the time of his castration, offspring of that stallion may be enrolled in all eligible Sweepstakes categories as if the sire were still a breeding stallion.

DEATH

6. The recorded owner of any horse registered with AHA that is enrolled in the Sweepstakes must notify the AHA office in the event of the horse's death by sending to the AHA office a certificate of death from an accredited veterinarian. For horses registered with CAHR or CPAR, a photocopy of the horse's canceled Certificate of Registration, and the horse's original Sweepstakes Certificate must be submitted.
7. If a Nominated Sire dies within 12 months of the date of Sweepstakes enrollment, the Nominated Sire entry fee received for that horse will be credited to the nominator's Sweepstakes account if requested in writing within 90 days of the date of the horse's death. If the Nominated Sire entry fees are credited, the unborn offspring of such a horse may be enrolled in the Sweepstakes. A deceased sire for which the Nominated Sire entry fees are credited will not be entitled to receive cash awards under the Sire Payback Program for any of its offspring. If a credit of the Nominated Sire fees for such a horse is not requested or not made, the recorded owner of the horse at the time of death shall be entitled to receive cash awards under the Sire Payback Program for any of the sire's offspring which were enrolled as Breeding and Yearling Entries. (Refer to Article 1819.)
8. If a Nominated Dam or Anglo-Arabian Nominated Dam dies within 12 months of the date of Sweepstakes enrollment and has not produced a live foal prior to her death, the Nominated Dam or Anglo-Arabian Nominated Dam fee paid will be credited to the nominator's Sweepstakes account if requested in writing within 90 days of the date of the horse's death.

9. In the event that a Nominated Sire or Nominated Dam dies within 12 months after it is enrolled in the Sweepstakes as a Nominated Sire or Nominated Dam, the Nominated Sire or Nominated Dam Entry fee being paid on a Deferred Billing Agreement, and there is an outstanding balance remaining on the entry fee on the Deferred Billing Agreement at the time of the horse's death, the portion of the entry fee that has been paid prior to the date of the horse's death shall be credited to the owner's other Sweepstakes accounts. A credit for the Nominated Dam will only be considered if the mare has not produced a live foal prior to her death. In such event, the unborn offspring of the horse may be enrolled in the Sweepstakes as a Breeding Entry, but the owner of the deceased sire will not be entitled to receive cash awards under the sire payback program of any of its offspring, following the date of the credit. The owner of the deceased sire may elect to pay the outstanding balance of the Nominated Sire Entry fee for the deceased sire. The election shall be made in writing within 90 days of the date of the horse's death, and payment of the remaining balance of the entry fee on the Deferred Billing Agreement must be submitted within 90 days of the date of the horse's death. If the election is made and the remaining balance of the entry fee on the Deferred Billing Agreement is paid, the owner shall be entitled to receive cash awards under the sire payback program for any of the sire's offspring. (Refer to Article 1819.)
10. If a Non-Arabian Nominated Sire dies within 12 months of the date of Sweepstakes enrollment, the Non-Arabian Nominated Sire fee paid for him will be credited to the nominator's Sweepstakes account if requested in writing within 90 days of the date of the horse's death and a certificate of death from an accredited veterinarian is submitted to the AHA office. His unborn offspring may be enrolled in Sweepstakes.
11. In the event that a Non-Arabian Nominated Sire dies within 12 months after it is enrolled in the Sweepstakes as a Non-Arabian Nominated Sire, the Non-Arabian Nominated Sire fee is being paid on a Deferred Billing Agreement, and there is an outstanding balance remaining on the entry fee on the Deferred Billing Agreement at the time of the horse's death, the portion of the entry fee that has been paid prior to the date of the horse's death shall be credited to the owner's other Sweepstakes accounts. In such event, the unborn offspring of the horse may be enrolled in the Sweepstakes as a Breeding Entry.
12. In the event that a Nominated Sire dies after 12 months in which it was enrolled in the Sweepstakes, the entry fee for that sire shall not be credited. The unborn offspring of the deceased sire may be enrolled in the Sweepstakes as a Breeding Entry, and the owner of the deceased sire shall receive cash awards under the Sire Payback Program.
13. In the event that a Non-Arabian Nominated Sire dies after 12 months in which it was enrolled in the Sweepstakes, the entry fee for that sire shall not be credited. The unborn offspring of the deceased sire may be enrolled in the Sweepstakes as a Breeding Entry.
14. In the event a Nominated Sire is deceased and was in good standing at the time of his death, offspring of that stallion may be enrolled in all eligible Sweepstakes categories as if the sire was living. This provision is retroactive to the inception of the Sweepstakes program.
15. In the event a Non-Arabian Nominated Sire is deceased and was in good standing at the time of his death, offspring of that stallion may be enrolled in all eligible Sweepstakes categories as if the sire were living.

LIVE FOAL GUARANTEE

16. In the event that a breeding between a Nominated Sire and a mare, or a Nominated Dam and a non-Arabian stallion, or a Nominated Dam and a Nominated Sire, or a Non-Arabian Nominated Sire and an Arabian mare or an Anglo-Arabian Nominated Dam bred to a Nominated or non-nominated Thoroughbred or Anglo-Arabian Sire, or Nominated Sire does not result in a live foal (i.e., abortion, death of mare, etc.), the fee paid may be transferred to another unborn foal in any following year, provided that a death certificate for the foal or mare, signed by an accredited veterinarian, is submitted to the AHA office. If applicable, a photocopy of the dead mare's canceled Certificate of Registration should be provided to the AHA office. A new Breeders Sweepstakes Entry Form must be completed and returned with the Dead Foal Credit voucher when you nominate a future unborn foal using the Dead Foal Credit as payment. (Refer to Article 1806.)
 - a. Under the live foal guarantee, the \$400 Breeding Entry fee may be transferred for any following year to a foal of any mare under the same ownership, providing a death certificate signed by a veterinarian is submitted. For purposes of this provision, a live foal is any foal that stands and nurses without assistance 30 days after parturition. A \$200 Breeding Entry fee credit may be issued if the death of the foal occurs more than 30 days after parturition but before December 31 of the foaling year. If the Breeding Entry was submitted on a Deferred Billing Agreement either the balance owing for that Agreement must be satisfied before any consideration of a credit will be made, or the balance owing for that entry will be forgiven and the money paid for that entry will be forfeited.

ARTICLE 1810. AGE DETERMINATION.

For purposes of these Rules and Regulations, a horse's year of age shall be determined by the USEF rules.

ARTICLE 1811. JUDGING.

The judging of classes at the National, Regional and Local Shows shall be done in accordance with the rules set forth in the U. S Equestrian Federation Rule Book, the Equine Canada Rule Book, and the AHA Handbook as those rule books may be amended from time to time.

ARTICLE 1812. EXAMINATION OF ENTRIES AND VERIFICATION OF IDENTITIES.

1. By enrolling a horse in the Sweepstakes, the owner grants ABSC the right to have the enrolled horse examined or inspected for any purpose, including but not limited to the purpose of verifying its identity, by AHA officials, staff, or any other person that ABSC request to make such examination or inspection.
2. In the event that doubt should arise regarding the identity of any horse enrolled in the Sweepstakes, ABSC shall make every effort to verify that horse's identity, including but not limited to blood typing or DNA testing. ABSC shall have the right to withhold any

Sweepstakes prize money or Breeders Incentive money that would otherwise be due and payable pending the outcome of an investigation to verify a horse's identity. In the event the horse's identity cannot be satisfactorily verified, the horse's Sweepstakes enrollment shall be canceled, and no further prize money or Breeders Incentive money shall be paid to the party whose name appears on the Certificate of Registration.

In the event the horse's identity cannot be satisfactorily verified and the horse is enrolled as a Non-Arabian Nominated Sire (with the exception of Half-Arabian stallions) and horses registered with CAHR or CPAR, the horse's Sweepstakes Certificate shall be cancelled and no further prize money shall be paid to the party whose name appears on the Sweepstakes Certificate. Horses registered with AHA, no further prize money or Breeders Incentive money shall be paid to the party whose name appears on the Certificate of Registration.

3. The AHA office will advise the recorded owner in writing of any proposal to cancel the Sweepstakes enrollment of a horse and the reasons supporting this proposal.

ARTICLE 1813. AHA NOT LIABLE.

The AHA, its directors, officers, employees, representatives, agents, members of the ABSC, the Trustee and the Trust will attempt to obtain true and complete information in connection with registration of horses, transfers of Sweepstakes Certificates for Non-Arabian Nominated Sire (with the exception of Half-Arabian stallions) and horses registered with CAHR or CPAR, and all other matters relating to AHA and ABSC activities. Neither the AHA nor its directors, officers, employees, representatives, agents, nor members of the ABSC, the Trustee and the Trust shall be liable in any way, whether in damages or otherwise, in connection with the issuance of any Sweepstakes Certificates for Non-Arabian Nominated Sire (with the exception of Half-Arabian stallions) and horses registered with CAHR or CPAR, for the transfer of any Sweepstakes Certificates and/or enrollments, for the refusal to issue a Sweepstakes Certificate for Non-Arabian Nominated Sire (with the exception of Half-Arabian stallions) and horses registered with CAHR or CPAR, for the refusal to transfer any Sweepstakes Certificate and/or enrollment, for the cancellation of any Sweepstakes Certificate and/or enrollment, or for any other activities engaged in by, or on behalf of, the AHA or the ABSC in connection with the Sweepstakes. Further, the AHA, Trustee or the Trust shall not be liable to pay out any funds for the administration or award of prizes for the Sweepstakes except from the principal and interest of the funds placed in the Trust which has been set up to handle the Sweepstakes funds.

ARTICLE 1814. NOTICES.

Any and all notices required or permitted under these Rules and Regulations will be deemed given on the date such notice is mailed to a person's last known address as reflected in the AHA records as of the date the notice is mailed.

ARTICLE 1815. DISPUTE RESOLUTION AND AMENDMENTS TO RULES.

1. In the event that any dispute or issue should arise regarding the implementation of the Sweepstakes which cannot be resolved solely by reference to these rules or which requires that they be interpreted, the dispute or issue shall be resolved by decision of the ABSC, upon a written petition submitted by an interested party to Sweepstakes at AHA. The petition should not be submitted to an ABS Commissioner(s), as all cases are reviewed on an anonymous basis. All decisions by the ABSC on disputes or issues raised under the provisions of this section shall be consistent with the purpose of the Sweepstakes Trust, which is to preserve and protect the principal of the Trust for use in maintaining the Sweepstakes. Written notice of the decision of the ABSC stating the basis for the decision shall be sent to the petitioning party. All decisions made by the ABSC will be final.
2. ABSC may recommend changes to these rules with final approval by the Trustee.
3. Recovery of Sweepstakes Prize Money Wrongfully Received. If, in accordance with applicable protest or disciplinary procedures of AHA, USEF, or EC, a determination by any of these entities or an error in reported event results, establishes, expressly or implicitly, that a person or horse was not qualified to participate in the Sweepstakes program and prize money, or Breeders Incentive Payback was received, all such money shall be refunded to AHA. In the event that AHA is required to refer any such matter for collection to an attorney or collection agency, or to institute legal proceedings, AHA shall, in addition to the Sweepstakes prize money, or Breeders Incentive Payback wrongfully received, be entitled to recover from the person receiving said money interest at the rate of 12% per annum compounded annually from the date the money was received, as well as all costs of collection, including reasonable attorney's fees and collection agency fees.

ARTICLE 1816. CLASSES.

1. On or before August 30, of each year, the ABSC shall recommend Sweepstakes classes available for the following calendar year. ABSC may recommend adding or deleting classes and non-show events from any list in effect for the current or any other calendar years. Classes that receive Allocated Prize Money must maintain a four-year aggregate average of at least 150 entries or they will be considered for removal from Sweepstakes funding. Class participation levels will be reviewed by the Sweepstakes ABSC biannually.
2. In order for a horse to be eligible to compete for prize money in any designated class or non-show event, a Sweepstakes enrollment must have been made or must be pending for the horse as an Original, Gelding, Breeding, Family Nominated Sire Breeding, Family Nominated Dam Breeding, Nominated Sire Original, Nominated Dam Original, or Yearling Entry, and the owner must be a current AHA member.
3. The list of classes and events receiving Sweepstakes prize money will be published annually. (Refer to Article 1818.)
4. All shows must denote Sweepstakes classes in their prize list(s). (Refer to Article 1818) Local Shows are not permitted to hold Sweepstakes classes as Championship classes. Each Sweepstakes class may not be duplicated (held more than once) within an AHA

Recognized Show. Sweepstakes classes eligible to be split into multiple age divisions and types may do so as long as they include each age division and type once within their AHA Recognized Show.

5. For classes that have the option to be split refer to Article 1818.
6. All horse show classes shall be conducted in accordance with the applicable USEF or EC Rules and Regulations.
7. Entry fees for Sweepstakes classes must not be greater than entry fees for non-Sweepstakes classes.

SWEEPSTAKES YEARLING QUALIFICATIONS

8. Nomination or enrollment in the Sweepstakes Program does not qualify a horse for a Regional or National competition, except entries in Regional and National Yearling Sweepstakes classes.
 - a. There are no qualification requirements for the following four classes to be held in conjunction with each Regional Championship Horse Show other than that horses competing in these classes must have been nominated as Breeding Entries. (Original Entries are not eligible to compete in these classes.)
 - 1) Arabian Yearling Colt/Gelding Sweepstakes.
 - 2) Arabian Yearling Filly Sweepstakes.
 - 3) Half-Arabian Yearling Colt/Gelding Sweepstakes.
 - 4) Half-Arabian Yearling Filly Sweepstakes.
 - b. Regional Arabian and Half-Arabian\Anglo-Arabian yearlings that have won a 1st place in a Sweepstakes Class in one Regional Show cannot compete in the same class in any other Regional Show.
 - c. To qualify for U.S. Nationals, a Sweepstakes Breeding Entry must have placed as a Top Ten from a Regional Yearling Sweepstakes class.

ARTICLE 1817. PRIZES.

Prize money will be calculated annually based on nomination and enrollment fees.

GENERAL RULES

Only Sweepstakes nominated horses will be eligible for Sweepstakes awards.

1. On or before August 30, of each year the ABSC shall recommend the percentages to be awarded at the National, Regional, Local shows and events designated to receive Sweepstakes prize money for the following calendar year. The number of awards, percentages and the amounts paid may change from year to year.
2. **Prize money at the National, Regional, Local shows, and designated events will be paid only to the party whose name appears on a horse's Certificate of Registration on December 31 of the year the prize money is earned.** Checks for prize money shall be mailed after December 31 of each year, not later than 60 days of receipt of complete results for that year.

Previously awarded scholarships will still be honored, which shall be paid out upon request of a qualified educational institution in accordance with the scholarship administration procedures. Contact the AHA office for scholarship guidelines.

The Social Security Number or Federal Taxpayer Identification Number and all Sweepstakes requirements must be on file with AHA before prize money is released. If this information is not on file or is not provided within 120 days after notification, all Sweepstakes prize money will be forfeited. If enrollment requirements are not fulfilled by December 31 of the calendar year following the year in which the prize money is won, the prize money shall be forfeited. Foreign residents winning prize money at an event held in the United States will be subject to income tax of 30% per Internal Revenue Service regulations. This amount will be withheld from total winnings. To obtain more information on this matter, contact the Internal Revenue Service as the Arabian Horse Association is not able to render advice on matters of taxation. In addition, if a prize money check is issued and not presented for payment by December 31 of the year following the year in which the prize money was won, the prize money shall be forfeited.

Sweepstakes ribbons will not be awarded at Local shows and events. Sweepstakes ribbons will be awarded to Sweepstakes Allocated Prize Money classes at the Regional and National level according to the 50% rule.

SWEEPSTAKES ALLOCATED PRIZE MONEY

5. When all Sweepstakes requirements are met, horses are automatically eligible to receive Allocated Prize Money in designated Regional and National Sweepstakes classes.
6. For those classes eligible to be split into multiple divisions, the available Allocated Prize Money will be divided equally between the multiple divisions. Refer to Designated Sweepstakes Classes Chart.
7. The Regional Arabian and Half-Arabian\Anglo-Arabian Yearling Sweepstakes classes will continue to receive ribbons up to a Top Ten. (If there are ten or more horses, ribbons will be awarded to ten horses, if there are eight horses, ribbons will be awarded to eight horses and etc.) Effective January 1, 1994, providing there are at least ten horses in the class, the first five places in the class will be eligible for Sweepstakes prize money. If fewer than nine horses are in the class, 50% of the class, rounded up, will be eligible for Sweepstakes prize money.

SWEEPSTAKES POINT PROGRAM ENROLLMENT AND PRIZE MONEY PAYOUT

8. This program is optional for horses when all Sweepstakes requirements are met. Sweepstakes nominated horses must enroll in the Sweepstakes Point Program to be eligible for prize money payout for accumulated points in Sweepstakes designated classes at

National, Regional, and Local shows and events (**all classes and events are eligible for point payout**). Enrollments must be made on-line or postmarked or electronic transaction dated to the AHA office before the first day of the show. Event Secretaries may not accept Sweepstakes Point Program Enrollments.

9. Annual Enrollment Fee: A \$100 enrollment fee per horse per year must be paid, postmarked/electronic transaction dated, prior to points being earned. Enrollment fees are not refundable and not transferable. Any points won before the payment of the \$100 enrollment fee will not be paid.
10. For those classes eligible to be split into multiple age divisions, points will be earned in each split class. Refer to Designated Sweepstakes Classes Chart.
11. Only horses participating in AHA Recognized Local Shows and Events (Open qualifying competitions will not be permitted) will receive points up to sixth place based on the number in the class or on the ride.
12. For horses participating in Regional Shows points up to five places will be earned. If fewer than nine horses are in the class, 50% rounded up, will be eligible for points.
13. For horses participating in National Shows points up to ten places will be earned. If fewer than nineteen horses are in the class, 50% rounded up, will be eligible for points.
14. For horses participating in AHA Recognized Local Rides (excludes Limited Distance Rides for Endurance), points will be earned up to sixth place based on the number of horses starting in the ride, regardless of breed.
15. If an AHA Local ride is held in conjunction with an AHA Regional ride, Sweepstakes Points will be awarded to the Regional ride only.

ARTICLE 1818. DISTRIBUTION CHART

Payouts for 2007 will be divided into the following percentages:

1. Local Shows & Events = 55%
 - a. Total number of points earned by enrolled Sweepstakes horses will be divided into the 55% payout to determine dollar value per point.

The Allocated Prize Money (45%) at National and Regional Shows is divided as follows:

2. Regional Shows = 25%
3. National Shows = 20%
 - a. USN = 16%
 - b. CAN = 3%
 - c. SHN = 1%

Percentages by breed are as follows:

1. Arabians = 65%
2. Half-Arabians/Anglo-Arabians = 35%

The Allocated Prize Money at National and Regional Shows will be paid as follows:

1. Champion = 30%
2. Reserve Champion = 20%
3. Top Ten = 50% to be divided by 8 places.
4. Top Five = 50% to be divided by 3 places.

DESIGNATED SWEEPSTAKES CLASSES

ARABIAN SWEEPSTAKES CLASSES	USN	CAN	SHN	Reg	Pts
* Arabian Yearling Colt/Gelding	\$			\$	\$
* Arabian Yearling Filly	\$			\$	\$
* Arabian Mare Breeding 2 yr & Over	\$	\$		\$	\$
* Arabian Stallion Breeding 2 yr & Over	\$	\$		\$	\$
Arabian Gelding In-Hand AAO 2 yr & Over	\$	\$		\$	\$
Arabian English Pleasure Junior Horse	\$				\$
Arabian Country English Pleasure Junior Horse	\$				\$
Arabian Hunter Pleasure Junior Horse	\$	\$			\$
Arabian Western Pleasure Junior Horse	\$	\$			\$
* Arabian English Pleasure AAO	\$	\$		\$	\$
* Arabian Country English Pleasure AAO	\$	\$		\$	\$
* Arabian Hunter Pleasure AAO	\$	\$		\$	\$
* Arabian Western Pleasure AAO	\$	\$		\$	\$
Arabian Reining Horse	\$				\$

* Arabian Reining Horse AAO	\$				\$
Arabian Sport Horse Under Saddle AT			\$		\$
Arabian Sport Horse Mares In-Hand AT 2 yr & Over			\$		\$
Arabian Sport Horse Geldings In-Hand AT 2 yr & Over			\$		\$
HALF-ARABIAN, ANGLO-ARABIAN SWEEPSTAKES CLASSES	USN	CAN	SHN	Reg	Pts
* Half-Arabian/Anglo-Arabian Yearling Colt/Gelding	\$			\$	\$
* Half-Arabian/Anglo-Arabian Yearling Filly	\$			\$	\$
** Half-Arabian/Anglo-Arabian Mare Breeding 2 yr & Over	\$	\$		\$	\$
** Half-Arabian/Anglo-Arabian Gelding In-Hand 2 yr & Over	\$	\$		\$	\$
Half-Arabian/Anglo-Arabian English Pleasure Junior Horse	\$				\$
Half-Arabian/Anglo-Arabian Country English Pleasure Junior Horse	\$				\$
Half-Arabian/Anglo-Arabian Hunter Pleasure Junior Horse	\$	\$			\$
Half-Arabian/Anglo-Arabian Western Pleasure Junior Horse	\$	\$			\$
* Half-Arabian/Anglo-Arabian English Pleasure AAO	\$	\$		\$	\$
* Half-Arabian/Anglo-Arabian Country English Pleasure AAO	\$	\$		\$	\$
* Half-Arabian/Anglo-Arabian Hunter Pleasure AAO	\$	\$		\$	\$
* Half-Arabian/Anglo-Arabian Western Pleasure AAO	\$	\$		\$	\$
Half-Arabian/Anglo-Arabian Reining Horse	\$				\$
* Half-Arabian/Anglo-Arabian Reining Horse AAO	\$				\$
Half-Arabian/Anglo-Arabian Sport Horse Under Saddle AT			\$		\$
ARABIAN, HALF-ARABIAN, ANGLO-ARABIAN SWEEPSTAKES CLASSES	USN	CAN	SHN	Reg	Pts
*** Arabian, Half-Arabian/Anglo-Arabian Dressage Level 2-AT			\$ T4	\$ T4	\$ T1
*** Arabian, Half-Arabian/Anglo-Arabian Dressage Level 3-AT			\$ T3	\$ T3	\$ T1
Arabian, Half-Arabian/Anglo-Arabian Competitive Trail					\$
Arabian, Half-Arabian/Anglo-Arabian Endurance Ride					\$

* Sweepstakes classes eligible to be split into multiple age divisions. When AAO classes are split into multiple age divisions, they must include all ages. Weanlings and Yearlings are not permitted in classes over one year of age and up. At the local level, Arabian and Half-Arabian/Anglo-Arabian Yearling classes may be split by birth date and must include all ages (birth dates).

** Sweepstakes classes eligible to be split into Saddle/Pleasure, Stock/Hunter and/or into multiple age divisions (must include all ages).

Weanlings and Yearlings are not permitted in Breeding and In-Hand classes.

*** At Local shows, Test 1 will be used for points. At the Regional shows, the highest Test will be used for points. At the National shows, the highest Test will be used for points and Allocated prize money.

BREEDING INCENTIVE PROGRAM

ARTICLE 1819. SIRE PAYBACK PROGRAM.

1. The owner of a Nominated Sire shall be awarded a payback equal to 5% of the Allocated Prize Money awarded and point values earned to each of the sire's offspring enrolled as a Breeding or Yearling Entries at National, Regional and Local Shows, or at designated non-show events, provided that the Nominated Sire is enrolled in the Sweepstakes as a Nominated Sire at the time of the shows or events. Previously awarded Scholarships paid by the Sweepstakes Program do not qualify for the Sire Payback Program.
 - a. Nominated Sire owners are required to report all of the mare's bred by that sire, to be sent to the AHA office by October 1st. If a report is not returned by October 1st, then the Nominated Sire's 5% payback will be withheld for the following year.
2. Sire Payback money will be paid only to the party whose name appears on a Nominated Sire's Sweepstakes Certificate or AHA Certificate of Registration on December 31.
3. Each year the Sweepstakes recognizes the Top Ten Nominated Sires in three categories. Those are:
 - a. Top Ten Juvenile Sires. Calculated by totaling the winnings of each Nominated Sire's Yearling offspring in the following classes over a period of one year:
 - 1) Arabian Yearling Colt/Gelding Sweepstakes.
 - 2) Arabian Yearling Filly Sweepstakes.

- 3) Half-Arabian Yearling Colt/Gelding Sweepstakes.
- 4) Half-Arabian Yearling Filly Sweepstakes.
- b. Overall Top Ten Juvenile Sires. An accumulated total of all Nominated Sire's Yearling offspring earnings since 1984.
- c. Top Ten Overall Nominated Sire Pay out. Calculated by totaling the Nominated Sire's offspring earnings in all Sweepstakes designated pay out classes and non-show ring events.

ARTICLE 1820. BREEDER NOMINATOR PAYBACK PROGRAM

1. The Nominator of the Breeding Entry shall be awarded a payback equal to 10% of the point value earned to that entry at National, Regional and Local Shows, or at designated non-show events, provided that all Sweepstakes requirements have been met.
2. Payback money will be paid to original nominator of the Breeding Entry. The Breeding Entry need not be entered into the Points Payback program in order to pay the original nominator.